



# Maximum average degree of list-edge-critical graphs and Vizing's conjecture

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## Abstract

Vizing conjectured that  $\chi'_\ell(G) \leq \Delta + 1$  for all graphs. For a graph  $G$  and nonnegative integer  $k$ , we say  $G$  is a  $k$ -list-edge-critical graph if  $\chi'_\ell(G) > k$ , but  $\chi'_\ell(G - e) \leq k$  for all  $e \in E(G)$ . We use known results for list-edge-critical graphs to verify Vizing's conjecture for  $G$  with  $mad(G) < \frac{\Delta+3}{2}$  and  $\Delta \leq 9$ .

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## 1. Introduction

We consider only simple graphs in this paper. It will be convenient for us to define for a graph  $G$ , the vertex set  $V_x = \{v \in V(G) \mid d(v) = x\}$  and the set  $V_{[x,y]} = \{v \in V(G) \mid x \leq d(v) \leq y\}$ . An *edge-coloring* of  $G$  is a function which maps one color to every edge of  $G$  such that adjacent edges receive distinct colors. A  $k$ -*edge-coloring* of  $G$  is an edge-coloring of  $G$  which maps a total of  $k$  colors to  $E(G)$ . The chromatic index  $\chi'(G)$  is the minimum  $k$  such that  $G$  is  $k$ -edge-colorable. Vizing's Theorem [10] gives us  $\chi'(G) \leq \Delta + 1$  for all graphs  $G$  where  $\Delta$  is the maximum degree of  $G$ .

We are interested in a variation of edge-coloring called list-edge-coloring. A list-edge-coloring is an edge-coloring with the extra constraint that each edge can only be colored from a preassigned

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list of colors. Specifically, we say an *edge-list-assignment* of  $G$  is a function which maps a set of colors to every edge in  $G$ . If  $L$  is an edge-list-assignment of  $G$ , then we refer to the set of colors mapped to  $e \in E(G)$  as the list,  $L(e)$ . We say that  $G$  is  *$L$ -colorable* if  $G$  can be properly edge-colored with every edge  $e$  receiving a color from  $L(e)$ . We say that  $G$  is  *$k$ -list-edge-colorable* if  $G$  is  $L$ -colorable for all  $L$  such that  $|L(e)| \geq k$  for all  $e \in E(G)$ . We note this concept is referred to as  *$k$ -edge-choosable* in other papers. The list-chromatic index,  $\chi'_\ell(G)$ , is the minimum  $k$  such that  $G$  is  $k$ -list-edge-colorable. So, we want to achieve a list-edge-coloring for all list-assignments  $L$  with minimal list-size  $k$ .

It is easy to see that  $\chi'_\ell(G) \geq \chi'(G) \geq \Delta$  for all graphs. The List-Edge Coloring Conjecture proposes that  $\chi'_\ell(G) = \chi'(G)$ , but this has only been verified for a few special families of graphs, such as Galvin's result for the family of bipartite graphs [6]. In this paper, we will focus on a relaxation of the LECC proposed by Vizing.

**Conjecture 1** (Vizing [9]). *If  $G$  is a graph, then  $\chi'_\ell(G) \leq \Delta + 1$ .*

This conjecture has been verified for all graphs with  $\Delta \leq 4$ . The  $\Delta = 3$  case was proved by Vizing [9] in 1976 and independently by Erdős, Rubin, and Taylor [5] in 1979. The  $\Delta = 4$  case of Conjecture 1 was proved in 1998 by Juvan, Mohar, Škrekovski [8].

The *average degree* of a graph  $G$  is  $ad(G) = \frac{\sum d(v)}{v(G)}$ . The *maximum average degree* of a graph  $G$  is  $mad(G) = \max\{ad(H) : H \subseteq G\}$ . That is,  $mad(G)$  is the maximum of the set of average degrees of all subgraphs  $G$ .

Motivated by Vizing and the List Edge Coloring Conjecture, Woodall conjectured [11] if  $G$  has  $mad(G) < \Delta - 1$ , then  $\chi'_\ell(G) = \Delta$ . Together with Borodin and Kostochka, Woodall [2] was able to verify his conjecture when  $mad(G) < \sqrt{2\Delta}$ .

We say that a graph  $G$  is  *$k$ -list-edge-critical* if  $\chi'_\ell(G) > k$ , and  $\chi'_\ell(G - e) \leq k$  for all  $e \in E(G)$ . By taking advantage of known results for *list-edge-critical* graphs, we relax Woodall's conjecture by bounding  $\Delta(G) \leq 9$  to verify Conjecture 1 when  $mad(G) < \frac{\Delta(G)+3}{2}$ .

## 2. Main Result

In 1990, Borodin verified Conjecture 1 for planar graphs with  $\Delta \geq 9$  (see [3]). This was improved to planar graphs with  $\Delta \geq 8$  by Bonamy in 2015 (see [1]). In 2010, before Bonamy's result, Cohen and Havet wrote a new proof of Borodin's theorem which reduced the argument to about a single page (see [4]). Their new proof used the minimality of list-edge-critical graphs and a clever discharging argument. We state one of their lemmas below.

**Lemma 2.1** (Cohen & Havet [4]). *If  $G$  is  $(\Delta + 1)$ -list-edge-critical, then  $deg(u) + deg(v) \geq \Delta + 3$ .*

Lemma 2.1, together with Borodin, Kostochka, Woodall's generalization [2] of Galvin's Theorem, were used to prove the following lemma. This lemma is listed as Lemma 9 in [7] and was used to achieve edge-precoloring results.

**Lemma 2.2** (Harrelson, McDonald, Puleo [7]). *Let  $a_0, a, b_0 \in \mathcal{N}$  such that  $a_0 > 2$ ,  $b_0 > a$ , and  $a + b_0 = \Delta + 3$ . If  $G$  is  $(\Delta + 1)$ -list-edge-critical, then*

$$2 \sum_{i=a_0}^a |V_i| < \sum_{j=b_0}^{\Delta} (a + j - \Delta - 2) |V_j|.$$

We apply Lemma 2.2 directly to graphs of bounded maximum average degree to prove our main result.

**Theorem 2.1.** *If  $G$  has  $\Delta(G) = \Delta \leq 9$  and  $mad(G) < \frac{\Delta+3}{2}$ , then  $\chi'_l(G) \leq \Delta + 1$ .*

*Proof.* Let  $m = \frac{\Delta+3}{2}$  and assign integers, which we will call an initial charge, to every vertex and an artificial, global pot  $P$ . We denote and define these initial charges as follows:  $\alpha(P) = 0$  and  $\alpha(v) = d(v)$  for all  $v \in V(G)$ . Let  $\alpha(G)$  denote the sum of all initial charges. We know  $ad(G) = \frac{\sum d(v)}{v(G)}$ , rather  $\alpha(G) = ad(G) \cdot v(G) < m \cdot v(G)$ . We will apply a discharging step and denote  $\alpha'(v)$  as the final charge for  $v \in V(G)$  after discharging. We will also use  $\alpha'(P)$  and  $\alpha'(G)$  to denote the final charges of  $P$  and  $G$ , respectively, after the discharging step. To get a contradiction, we will prove  $\alpha'(G) \geq m \cdot v(G)$  by showing  $\alpha'(P) > 0$  and  $\alpha'(v) \geq m$  for all  $v \in V(G)$ .

We note that this theorem is known for  $\Delta \leq 4$  so we may assume  $5 \leq \Delta \leq 9$ . For each of these values of  $\Delta$ , we provide Tables 1 through 5. Each table provide a list of triples  $(a_0, a, b_0)$  and their resulting inequalities from Lemma 2.2. Each table also presents the discharging step and verifies  $\alpha'(v) \geq m$  for all  $v \in V(G)$ . We let  $x_i$  be the sum of coefficients of  $V_i$  from the first table. For all values of  $\Delta$ , we discharge in the following way; If  $deg(v) = i \geq m$ , then  $v$  will give  $x_i$  to  $P$ . If  $deg(v) = i < m$ , then  $v$  will take  $x_i$  from  $P$ .

For all values of  $\Delta$ , we verify  $\alpha'(P) > 0$  by using only strict inequalities and noting the lesser side of every inequality only contains vertices with degree less than  $m$  and the greater side every inequality only contains vertices with degree greater than  $m$ . This means more charge is put into  $P$  than is taken from  $P$  due to how we defined  $x_i$  in our discharging step.

If  $\Delta = 9$ , then we consider the ordered triples in the form of  $(a_0, a, b_0)$  and the system of inequalities resulting from Lemma 2.2 as displayed in Table 1. We note that the final charge of  $P$  is positive since adding all inequalities together yields:

$$x_3V_3 + x_4V_4 + x_5V_5 < x_7V_7 + x_8V_8 + x_9V_9.$$

The final charges from Table 1 gives

$$\alpha'(G) = \alpha'(P) + \sum_{v \in V(G)} \alpha'(v) > m \cdot v(G)$$

This is a contradiction for  $\Delta = 9$ . We proceed through the remaining values of  $\Delta$  using the same argument. We present a table for each value of  $\Delta$ . Each table displays inequalities resulting from Lemma 2.2 and each table displays the discharging step to verify  $\alpha'(v) > m$  and  $\alpha'(P) > 0$ . Note that, for  $\Delta = 8$ , we multiply the first inequality by  $1/2$ .

This completes the proof of Theorem 2.1. □

Table 1. Inequalities and final charges for  $\Delta = 9$ .

<i>Lemma 2.2 inequalities for <math>\Delta = 9</math></i>		<i>Discharging for <math>\Delta = 9, m = 6</math></i>		
$(a_0, a, b_0)$	Inequality	$\alpha(v) = i$	$x_i$	$\alpha'(v)$
(3,5,7)	$V_3 + V_4 + V_5 < \frac{1}{2}V_7 + V_8 + \frac{3}{2}V_9$	3	3	6
(3,4,8)	$V_3 + V_4 < \frac{1}{2}V_8 + V_9$	4	2	6
(3,3,9)	$V_3 < \frac{1}{2}V_9$	5	1	6
		6	0	6
		7	$\frac{1}{2}$	$\frac{13}{2}$
		8	$\frac{3}{2}$	$\frac{13}{2}$
		9	$\frac{6}{2}$	6

Table 2. Inequalities and final charges for  $\Delta = 8$ .

<i>Lemma 2.2 inequalities for <math>\Delta = 8</math></i>		<i>Discharging for <math>\Delta = 8, m = \frac{11}{2}</math></i>		
$(a_0, a, b_0)$	Inequality	$\alpha(v) = i$	$x_i$	$\alpha'(v)$
(3,5,6)	$\frac{1}{2}[V_3 + V_4 + V_5] < \frac{1}{2}[\frac{1}{2}V_6 + \frac{2}{2}V_7 + \frac{3}{2}V_8]$	3	$\frac{5}{2}$	$\frac{11}{2}$
(3,4,7)	$V_3 + V_4 < \frac{1}{2}V_7 + \frac{2}{2}V_8$	4	$\frac{3}{2}$	$\frac{11}{2}$
(3,3,8)	$V_3 < \frac{1}{2}V_8$	5	$\frac{1}{2}$	$\frac{11}{2}$
		6	$\frac{1}{4}$	$\frac{23}{4}$
		7	1	6
		8	$\frac{9}{4}$	$\frac{23}{4}$

Table 3. Inequalities and final charges for  $\Delta = 7$

*Lemma 2.2 inequalities for  $\Delta = 7$*     *Discharging for  $\Delta = 7, m = 5$*

$(a_0, a, b_0)$	Inequality	$\alpha(v) = i$	$x_i$	$\alpha'(v)$
(3,4,6)	$V_3 + V_4 < \frac{1}{2}V_6 + \frac{2}{2}V_7$	3	2	5
(3,3,7)	$V_3 < \frac{1}{2}V_7$	4	1	5
		5	0	5
		6	$\frac{1}{2}$	$\frac{11}{2}$
		7	$\frac{3}{2}$	$\frac{11}{2}$

Table 4. Inequalities and final charges for  $\Delta = 6$ .

*Lemma 2.2 inequalities for  $\Delta = 6$*      *Discharging for  $\Delta = 6, m = \frac{9}{2}$*

$(a_0, a, b_0)$	Inequality	$\alpha(v) = i$	$x_i$	$\alpha'(v)$
(3,4,5)	$V_3 + V_4 < \frac{1}{2}V_5 + \frac{2}{2}V_6$	3	2	5
(3,3,6)	$V_3 < \frac{1}{2}V_6$	4	1	5
		5	$\frac{1}{2}$	$\frac{9}{2}$
		6	$\frac{3}{2}$	$\frac{9}{2}$

Table 5. Inequalities and final charges for  $\Delta = 5$ .

*Lemma 2.2 inequalities for  $\Delta = 5$*      *Discharging for  $\Delta = 5, m = 4$*

$(a_0, a, b_0)$	Inequality	$\alpha(v) = i$	$x_i$	$\alpha'(v)$
(3,3,5)	$V_3 < \frac{1}{2}V_5$	3	1	4
		4	0	4
		5	$\frac{1}{2}$	$\frac{9}{2}$

### 3. Conclusion

The application of Lemma 2.2 can be improved for some values of  $\Delta(G)$  presented in Theorem 2.1 to yield slightly greater values of  $mad(G)$ . We can also apply Lemma 2.2 to any value of  $\Delta(G)$ , but this will lower the bound on  $mad(G)$ . Specifically, we can find optimum values of  $mad(G)$  given  $\Delta(G)$  for graphs of higher max-degree by “reverse-engineering” the inequalities of Lemma 2.2 as shown in the following example for  $\Delta(G) = 10$ .

*Example 1.* Finding an optimal  $mad(G)$  for  $\Delta(G) = 10$ .

*Proof.* Let  $mad(G) < m$  for some  $m$ , let  $\alpha(P) = 0$ , and let  $\alpha(v) = d(v)$  for all  $v \in V(G)$ . We wish to determine the largest number  $m$  such that  $\alpha'(P) > 0$  and  $\alpha'(v) \geq m$  for all  $v \in V(G)$ . We begin by presenting a table of triples and their resulting inequalities from Lemma 2.2; however, we multiply each inequality by an arbitrary constant.

Table 6. *Lemma 2.2 inequalities for  $\Delta = 10$*

$(a_0, a, b_0)$	Inequality
(3, 6, 10)	$c_1(V_3 + V_4 + V_5 + V_6 < \frac{1}{2}V_7 + \frac{2}{2}V_8 + \frac{3}{2}V_9 + \frac{4}{2}V_{10})$
(3,5,10)	$c_2(V_3 + V_4 + V_5 < \frac{1}{2}V_8 + \frac{2}{2}V_9 + \frac{3}{2}V_{10})$
(3,4,10)	$c_3(V_3 + V_4 < \frac{1}{2}V_9 + \frac{2}{2}V_{10})$
(3,3,10)	$c_4(V_3 < \frac{1}{2}V_{10})$

As in Theorem 2.1, we let  $x_i$  be the sum of coefficients of  $V_i$  from this table. We will let “high-degree” vertices give charge to  $P$  while “low-degree” vertices take charge from  $P$  in the rules that follow. If  $\deg(v) = i \geq \lceil \frac{1}{2}\Delta + 2 \rceil$ , then  $v$  gives  $x_i$  to  $P$ . If  $\deg(v) = i \leq \lfloor \frac{1}{2}\Delta + 1 \rfloor$ , then  $v$  takes  $x_i$  from  $P$ . This yields the list of final charges displayed in Table 6. We set each final charge greater than or equal to  $m$ .

Table 7. Final charges for Example 1.

$V_i$	Final Charge $\geq m$	Name
$V_3$	$3 + c_1 + c_2 + c_3 + c_4 \geq m$	<b>A</b>
$V_4$	$4 + c_1 + c_2 + c_3 \geq m$	<b>B</b>
$V_5$	$5 + c_1 + c_2 \geq m$	<b>C</b>
$V_6$	$6 + c_1 \geq m$	<b>D</b>
.	.	.
.	.	.
.	.	.
$V_{10}$	$10 - \frac{4}{2}c_1 - \frac{3}{2}c_2 - \frac{2}{2}c_3 - \frac{1}{2}c_4 \geq m$	<b>E</b>

Increasing the constants  $c_1, c_2, c_3, c_4$  increases the final charge of our “low-degree” vertices, but decreases the final charge of our “high-degree” vertices. We need all final charges to be greater than or equal to  $m$  so we must chose  $m$  carefully. While all vertices in  $V_{[7,10]}$  give charge away, the vertices in  $V_{10}$  give the most, meaning inequality  $E$  has the strictest bound on  $m$ . With this in mind, we can find an optimal bound for  $m$  by adding inequalities in the following way:

$$2E + A + B + C + D \implies 38 + 0x_1 + 0x_2 + 0x_3 \geq 6m \implies \frac{19}{3} \geq m$$

We can now use this bound and the inequalities of the “low-degree” vertices from Table 6 to solve for  $c_1, c_2, c_3, c_4$ .

$$\begin{aligned}
 D \quad V_6 : 6 + c_1 &\geq \frac{19}{3} && \implies c_1 = \frac{1}{3} \\
 C \quad V_5 : 5 + c_1 + c_2 &\geq \frac{19}{3} && \implies c_2 = 1 \\
 B \quad V_4 : 4 + c_1 + c_2 + c_3 &\geq \frac{19}{3} && \implies c_3 = 1 \\
 A \quad V_3 : 3 + c_1 + c_2 + c_3 + c_4 &\geq \frac{19}{3} && \implies c_4 = 1
 \end{aligned}$$

We have shown that  $\alpha'(v) \geq \frac{19}{3}$  for our “low-degree” vertices in  $V_{[3,6]}$ . We only need to verify the values of  $c_1, c_2, c_3, c_4$ , and  $m$  give us appropriate inequalities for the “high-degree” vertices.

$$\begin{aligned}
 V_7 : 7 - \frac{1}{2}c_1 &> \frac{19}{3} \\
 V_8 : 8 - \frac{2}{2}c_1 - \frac{1}{2}c_2 &> \frac{19}{3} \\
 V_9 : 9 - \frac{3}{2}c_1 - \frac{2}{2}c_2 - \frac{1}{2}c_3 &> \frac{19}{3} \\
 V_{10} : 10 - \frac{4}{2}c_1 - \frac{3}{2}c_2 - \frac{2}{2}c_3 - \frac{1}{2}c_4 &= \frac{19}{3}
 \end{aligned}$$

So  $m = \frac{19}{3}$  is a feasible bound for  $mad(G)$  when  $\Delta(G) = 10$ . This means if a graph  $H$  has  $\Delta(H) \leq 10$  and  $mad(H) < \frac{19}{3}$ , then  $\chi'_\ell(H) \leq \Delta + 1$ .  $\square$

Lemma 2.2 can be thought of as a generalization Cohen and Havet’s argument in [4]. Both of these results use forbidden structures to force good counts of low and high degree vertices by relying on Galvin’s Theorem [6]. In this sense, good counts are achieved from knowing the list-edge-colorability of bipartite graphs. We are interested in how the list-edge-colorability of other simple families of graphs could be used to develop counts to verify Vizing’s Conjecture or even the LECC for a wider range of graphs than is currently known.

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